



Fulfilling Your Fiduciary Responsibility

Partner with an independent investment fiduciary who is uniquely qualified to meet your needs.

As lawsuits targeting 401(k) plan sponsors and ESOP service providers have exponentially increased, the demand for independent investment fiduciary services has grown dramatically among employers. When acting as a fiduciary, plan sponsors are required to act prudently and solely in the interest of plan participants and beneficiaries. The standard of care imposed by the Employee Retirement Income Security Act (ERISA) is a prudent expert standard. As retirement plan and investment issues become increasingly complex, it is wise to partner with an independent investment fiduciary who is uniquely qualified to assume this role under the strict standards imposed by ERISA.

How CG Financial Can Help

CG Financial is pleased to offer investment fiduciary services for retirement plan sponsors. Our role is to advise, guide, and support you in making the most appropriate decisions involving retirement plan design, investments, and hiring other service providers. This reduces the amount of time and resources spent by the plan sponsors and reduces fiduciary burden and liability.

Independence is Vital

CG Financial serves clients as a truly independent investment manager. This allows us to assist the plan sponsor in monitoring other providers hired to service components of the company's retirement plan(s). For many of our clients, the result is increased levels of service for a much lower cost. In addition, we ensure the plan sponsor implements the best investment options for participants as we are not tied to proprietary investment products offered by many recordkeepers.

What You Can Expect from CG Financial

Accept and acknowledge fiduciary responsibilities in writing.

Assist your plan with implementing an investment policy statement.

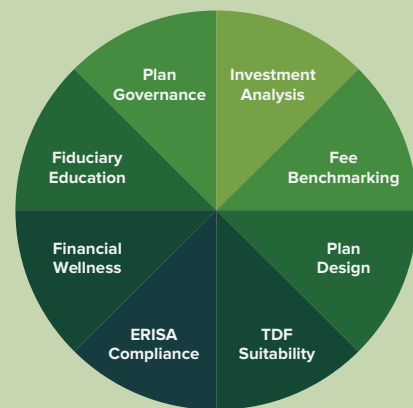
Conduct investment searches, due diligence, and analysis.

Provide your plan committee with support and guidance.

Benchmark service providers to ensure suitability of services and fees.

Assist with managing your plan's fiduciary requirements.

CG Financial Advisors



Need help understanding ERISA guidelines?

Get in touch with our experienced team.
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Monday - Friday 8:30 am - 5:00 pm EST

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