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## Part 2B of Form ADV: Brochure Supplement

Christian Dean Whitehead

148 E Grand River Ave  
Williamston, MI, 48895  
517-339-7662

Capital Asset Advisory Services, LLC

15744 Peacock Road  
Haslett, MI 48840  
517-339-7662

04/24/2023

This brochure supplement provides information about Christian Dean Whitehead that supplements the Capital Asset Advisory Services, LLC brochure. You should have received a copy of that brochure. Please contact Ken Evangelista at 517-339-7662 if you did not receive Capital Asset Advisory Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Christian Dean Whitehead is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Full Legal Name:** Christian Dean Whitehead

**Born:** 1987

### Education

Northwood University; Bachelors of Business Administration; 2009

Richard DeVos Graduate School of Management; Master of Business Administration; 2010

### Designations

Accredited Investment Fiduciary® (AIF®)<sup>1</sup>

### Business Experience

Capital Asset Advisory Services, LLC. (“CAAS”); Investment Advisor Representative; from 10/2011 to Present

LPL Financial; Registered Representative; 04/2023 to Present

Geneos Wealth Management, Inc.; Registered Representative; 08/2017 to 04/2023

WFG Investments, Inc.; Registered Representative; 03/2015 to 08/2017

Invest Financial Corporation; Registered Representative; From 10/2010 to 02/2015

## Item 3 Disciplinary Information

Mr. Whitehead has no reportable disciplinary history.

## Item 4 Other Business Activities

### A. Investment-Related Activities

Mr. Whitehead is a registered representative of LPL Financial (“LPL”). LPL is a securities broker-dealer (a member of FINRA, SIPC) and an investment adviser registered with the Securities and Exchange Commission. In addition, Mr. Whitehead is a licensed insurance agent and provides fixed insurance products through Capital Asset Insurance Services. He may receive compensation for his activities as a registered representative or insurance agent. Mr. Whitehead markets and administers these services through the DBA CG Financial Services.

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<sup>1</sup> Accredited Investment Fiduciary® designees are licensed by the Center of Fiduciary Studies, a part of fi360 company. AIF® certification requirements include: Successful completion of the 90 minute, closed-book, 60 question AIF® exam, indicating at least 75% correct answers; Successful completion of six hours of continuing professional education, four hours of which are fi360 training continuing education; Signing and agreeing to abide by a code of ethics.

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As a registered representative of LPL, Mr. Whitehead may recommend securities or insurance products offered by LPL. He may also receive commissions for insurance products sold through Capital Asset Insurance Services. Thus, a conflict of interest exists between the interests of Mr. Whitehead and those of our advisory clients. Our financial planning, 401(k) and non-discretionary clients are under no obligation to purchase products recommended by Mr. Whitehead. No client is obligated to place securities transactions or purchase insurance through LPL or to purchase insurance through any other firm represented by Mr. Whitehead.

### **Item 5 Additional Compensation**

As noted above, Mr. Whitehead may receive compensation for his activities as a registered representative or insurance agent, and may indirectly benefit if clients elect to Capital Asset Insurance Services for insurance services.

Mr. Whitehead is eligible to receive incentive awards (including prizes such as trips or bonuses) for recommending certain types of insurance policies or other investment products that he recommends.

While Mr. Whitehead endeavors at all times to put the interest of our clients first as part of our fiduciary duty, the possibility of receiving incentive awards creates a conflict of interest, and may affect his judgment when making recommendations.

### **Item 6 Supervision**

**Supervisor:** Kenneth Evangelista

**Title:** Chief Compliance Officer

**Phone Number:** 517-339-7662

CAAS' procedures for supervising the activities of its investment adviser representatives include periodic reviews of branch offices and an annual review to ensure that compliance policies and procedures are being followed.